

COB destinations for each NEWCOB chapter

COB	NEWCOB
COB 1 (except COB 1.5 and 1.6)	NEWCOB 1 Application/Appendix 1
COB 2.2 and 2.3	NEWCOB 2 Conduct of business obligations
COB 4.1	NEWCOB 3 Client categorisation
COB 2.1 and COB 3.6 – COB 3.13	NEWCOB 4 Communication to clients
COB 3.1 to COB 3.5 and COB 3 Annex 5	NEWCOB 5 Financial promotions
COB 2.6, COB 3.6 – COB 3.13, COB 4.2, COB 4 Annex 1, COB 6.4, COB 6.5 and COB 6.7	NEWCOB 6 Distance communications
COB 4.3, COB 4 Annexes 4R and 5R, COB 5.1, COB 5.5 and COB 5.7	NEWCOB 7 Information about the firm, its services and remuneration
COB 4.3, COB 5.2, COB 5.3	NEWCOB 8 Insurance mediation
COB 4.2 and COB 4 Annex 1	NEWCOB 9 Client agreements
COB 5.2 and COB 7.2	NEWCOB 10 Identifying client needs and advising
No COB provisions have been carried across	NEWCOB 11 Non-advised services
COB 7.4, COB 7.5, COB 7.13 and COB 7.18	NEWCOB 12 Dealing and managing
COB 7.3, COB 7.16 and COB 7.17	NEWCOB 13 Investment research
COB 5.4, COB 6.1 and COB 6.5	NEWCOB 14 Preparing product information
COB 6.2 and COB 6.4	NEWCOB 15 Providing product information to clients
COB 6.7	NEWCOB 16 Cancellation
COB 6.5, COB 6.8 and COB 8	NEWCOB 17 Reporting information to clients
COB 8A	NEWCOB 18 Claims handling for long-term care insurance
COB 1.6 and COB 11	NEWCOB 19 Specialist regimes
COB 6.10 – COB 6.13	NEWCOB 20 With-profits
COB 6.5	NEWCOB 21 Pensions: supplementary provisions
COB 2.4 and COB 7.1	These provisions relating to conflicts of interest have been moved to SYSC 10
COB 9	These provisions relating to client assets have been moved to CASS
COB Ann 2	The provisions of this annex relating to total expense ratio calculation has been moved to COLL

COB 1.5, COB 6.6 , COB 7.15, COB 10 and COB 12	FSA has deferred the consideration of these provisions until 2007.
COB 5.8	This provision relating to the introduction of customers to clearing firms by brokers and overseas introducing brokers has not been carried forward by FSA in relation to MiFID business. FSA will consider the retention of this provision for non-MiFID business as part of its wider review in 2007.
COB 2.5 , COB 3.14, COB 3 Ann 1, 2, 3 and 4, COB 4 Annex 8G and 9G, COB 5.6, 5.10, COB 5 Ann 1 and 2, COB 6.3, COB 6 Ann 1, COB 7.6 to COB 7.12 and COB 7.14	These provisions of COB have not been carried forward into NEWCOB.