

Catch up with our  
webinars and podcasts

August 2024



# Financial Services (1/2)

## Cross border financial services series

30 mins

Mar-Sep 2024

- Spotting and avoiding red flags
- Handling a regulatory investigation
- Financial crime
- Preparing for a regulatory visit
- Handling a challenging application
- Dawn raids
- Navigating the global ESG landscape

Watch/ register for all sessions [here](#)

## The future of AI in financial services – where innovation meets regulation

60 mins

Feb 2024

Watch [here](#)

## 2024 regulatory briefings

Jan 2024

Topic covered in our recent in-person sessions include:

- the impact of D&I in the sector
- the FCA's supervisory priorities
- minding the margins – reforms to ARs, FPs and capital requirements
- when the FCA swoops
- enforcement cases on weak controls
- “debanking”
- regulation of crypto & CBDCs
- Sustainability Disclosure Requirements
- Overseas Funds Regime

These sessions were given in-person. [Contact us](#) to learn more.

## What's next in digital assets disputes?

Feb 2024

In this session our crypto disputes team explored how the courts are dealing with the unique legal challenges presented by digital assets - including the much anticipated Crypto Open Patent Alliance v Craig White “identity issue” trial.

This session was given in-person. [Contact us](#) to learn more.

## FCA new Consumer Duty

20-60 mins each

Sept 2022 – July 2023

The FCA's new Consumer Duty comes into force in July 2023 for new and existing products and services that are open for sale or renewal.

The Consumer Duty is a cornerstone of the FCA's three-year strategy, setting higher standards of care. It is being prioritised at every level of the FCA and will drive the FCA's supervision strategies and prioritisation.

The FCA expects good outcomes for customers to be at the heart of firms' strategies and business objectives. Leaders have a key role to play here. Firms' boards and senior management should embed the interests of customers into the culture and purpose of the firm.

With a significant shift in the FCA's expectations, firms can expect their implementation projects to come under real scrutiny in the coming months.

Our webinar series is designed to provide you with a deeper understanding of the FCA's expectations and prepare you for a seamless implementation.

Watch all our webinars [here](#)

### Implementation plans and toolkit

### The Consumer Duty mindset and consumer understanding

### Outsourcing arrangements through the lens of the Consumer Duty

### SMCR obligations under the Consumer Duty: Boards and Consumer Duty Champions

### Consumer Duty: what does it mean for wholesale firms?

### Foreseeable harm, the FOS and litigation risk

### What will be in the FCA's crosshairs?

## Cross border financial regulation: how to keep pace with the ever changing face of regulation

20 mins

April-Dec 2023

Against the backdrop of Silicon Valley Bank and Credit Suisse, our series explores hot topics in regulation at this pivotal time.

Watch our recordings and register for future sessions [here](#)

Topics in this series will include:

- Whistleblowers [watch here](#)
- Focus on AML [watch here](#)
- Handling a cross border investigation [watch here](#)
- Current regulatory priorities [watch here](#)
- Keeping up to date with the rules [watch here](#)
- Handling a cyber incident [watch here](#)
- Market abuse rules [watch here](#)
- Sustainability related litigation risk [watch here](#)



## Financial Services (2/2)

### Financial Services Bite Size Briefings 2023

30 mins each

Feb-Mar 2023

- **Financial Promotions**
- **Skilled Persons Reports**
- **ESG and insurance: litigation risk**
- **Appointed Representatives**
- **ESG and insurance: regulatory requirements and supervisory expectations**

Watch [here](#)

### How will the Edinburgh reforms impact firms? Initial takeaways

60 mins

Dec 2022

In December 2022 Chancellor of the Exchequer announced a new set of reforms to drive growth and competitiveness in the financial sector. But will these live it up to the industry's expectations?

We take a first look at four key areas:

- What are the main reforms and when will they happen?
- Retail – consumer credit reforms - what is proposed, likely impact and when they might happen
- Banking – is a change to the ringfencing regime a step forwards or backwards?
- Regulation – what will the PRA and the FCA do differently?

Watch [here](#)

### The impact of the Berne Financial Services Agreement

60 mins

Feb 2024

The Berne Financial Services Agreement, reached between Switzerland and the UK in December 2023 is a landmark development. It marks a new era in specific financial services sectors, enhancing mutual cooperation and recognition.

Our series looks at the practical the impacts for:

Topics in this series will include:

- Insurance [watch here](#)
- Asset management, banking and investment services [watch here](#)
- Stock exchanges and financial market infrastructures [watch here](#)

### Current issues in contentious financial services

Watch all sessions [here](#)

Episode 4:  
**Conduct issues under SMCR**  
30 mins Dec 2022

Episode 2:  
**How the regulators take decisions**  
20 mins Nov 2022

Episode 3:  
**Providing information to the Regulator**  
30 mins Nov 2022

Episode 1:  
**The FCA's new interventionist supervisory style**  
20 mins Nov 2022

### People considerations in financial services transactions

45 mins

Nov 2022

Watch [here](#)

### Banking disputes: data driven analysis and trends

60 mins

Oct 2022

Watch [here](#)

### FCA difficult conversations

30 mins each

June 2021

Sometimes things don't go to plan and when that happens, firms may find themselves having difficult conversations with the FCA.

Our bitesize briefings are packed with practical tips based on our collective experiences, designed to help you navigate those conversations and manage challenging situations.

**Episode 1** Responding to regulatory information requests  
Watch [here](#)

**Episode 2** Self-notification - when things go wrong  
Watch [here](#)

**Episode 3** Requirement to appoint a Skilled Person  
Watch [here](#)

**Episode 4** Managing an FCA investigation - the firm perspective  
Watch [here](#)

**Episode 5** Managing an FCA investigation - the individuals' perspective  
Watch [here](#)

**Episode 6** Consumer redress  
Watch [here](#)

**Episode 7** Whistleblowing  
Watch [here](#)



# Fintech

## Fintech Bytes

FinTech Bytes by CMS is a podcast series which gives you a bite-sized overview of topics affecting the FinTech market and provides insights into some of the latest tech, regulatory, market trends and issues.

Listen to all episodes [here](#)

<p>Episode 20: <b>How to leverage FinTechs through digitalisation</b></p> <p>26 mins Jun 2024</p>	<p>Episode 14: <b>Women in FinTech</b></p> <p>25 mins Mar 2023</p>	<p>Episode 7: <b>Akoni</b></p> <p>21 mins Dec 2021</p>
<p>Episode 19: <b>How are FinTechs innovating in financial services?</b></p> <p>15 mins Apr 2024</p>	<p>Episode 13: <b>What are the key FinTech trends for 2023</b></p> <p>15 mins Feb 2023</p>	<p>Episode 6: <b>CBDC</b></p> <p>29 mins Nov 2021</p>
<p>Episode 18: <b>How is the consumer duty regime shaping the fintech sector?</b></p> <p>25 mins Dec 2023</p>	<p>Episode 12: <b>Diversity and Inclusion in FinTech</b></p> <p>30 mins</p>	<p>Episode 5: <b>Interview with Suprafin</b></p> <p>15 mins Sep 2021</p>
<p>Episode 17: <b>New rules for marketing crypto assets - what to expect</b></p> <p>18 mins Sept 2023</p>	<p>Episode 11: <b>Marketing cryptoassets in the UK</b></p> <p>30 mins Jul 2022</p>	<p>Episode 4: <b>Interview with Alan Ainsworth (OBIE) part 2</b></p> <p>60 mins Oct 2020</p>
<p>Episode 16: <b>Data revolution - unleashing the power of synthetic data</b></p> <p>Sept 2023</p>	<p>Episode 10: <b>How is FinTech disrupting Islamic Finance?</b></p> <p>20 mins Jun 2022</p>	<p>Episode 3: <b>Disputes</b></p> <p>23 mins May 2021</p>
<p>Episode 15: <b>Unlocking the future: generative AI's unprecedented impact on FinTech and litigation</b></p> <p>18 mins Jun 2023</p>	<p>Episode 9: <b>Crypto assets – MLR registration</b></p> <p>30 mins May 2022</p>	<p>Episode 2: <b>Interview with Alan Ainsworth (OBIE) part 1</b></p> <p>20 mins Mar 2021</p>
	<p>Episode 8: <b>DeFi</b></p> <p>19 mins Jan 2022</p>	<p>Episode 1: <b>Operational resilience</b></p> <p>20 mins Feb 2021</p>



## Funds

### Digital Operational Resilience Act (DORA): impact on the funds sector

1-3 mins each

2024

The Digital Operational Resilience Act (DORA) is a transformative force in the funds sector, reshaping the relationships between financial entities, financial markets and ICT providers to achieve greater digital resilience. It comes into effect in January 2025. Our video series tells you all you need to know:

- Background and objectives of DORA
- Scope of DORA
- Outsourcing of critical or important – functions
- What does digital operational resilience mean in practice?
- What will change for regulated businesses in terms of internal governance?
- Responsibility of the management of in-scope entities
- Key contractual provisions in agreements with ICT third party service providers
- ICT-related incident management
- Entry into force and what regulated business need to do to prepare
- Delegated acts and review of DORA impacts by the Commission
- Effect of DORA on UK financial entities
- UK legislation: Critical Third Parties

### Will blockchain finally disrupt the funds value chain in 2023?

100 mins

Nov 2022

Watch [here](#)

### Focus on Funds: Commercial contracts under a microscope

60 mins

Oct 2022

This session considers how force majeure, material adverse change and other similar clauses as well as the doctrine of frustration may be engaged (something that was a frequent issue during the pandemic and will continue to be as markets re-adjust and adapt to the new normal), as well as touching on impossibility of performance and providing some practical steps that businesses (including funds) can take to safeguard their position.

Watch [here](#)

### Review of AIFMD and ELTIFR: key takeaways and challenges for loan-originating funds

60 mins

Jun 2022

On November 25, 2021, the European Commission released, among others, long-awaited proposals for reform of the Alternative Investment Fund Managers Directive (AIFMD – Directive 2011/61/EU) and the Regulation on European Long-term Investment Funds (ELTIFR – Regulation (EU) 2015/760). Such proposed amendments (collectively, the Proposal) cover key areas such as, inter alia, delegation arrangements, liquidity risk management, supervisory reporting and provision of depositary/custody services. One of the most significant proposed changes would undoubtedly be the new rules and requirements for loan-originating funds, which why we will devote special time to this topic. CMS is organising a webinar to provide a detailed overview on the key provisions of the Proposal and get a unique opportunity to meet with from various market players who will share their views on this topic.

Watch [here](#)

### Focus on Funds: Data protection and cyber security

60 mins

Jun 2022

With the average business suffering some form of online attack every two minutes, this session will assess the scope of the risk, its legal implications and how you can protect your business. We will also offer guidance on a range of topics including common cyber vulnerabilities and how to manage them; GDPR lessons; recent case law on data protection and breach of confidence; and cyber insurance.

Watch [here](#)

### Focus on Funds: Dispute Resolution

60 mins

Sep 2022

No matter how carefully you manage your relationships, disputes between GPs, LPs, and service providers, occur from time to time. The reputational and financial risks are high. This session offers a toolkit to help fund managers and institutional investors: understand the critical elements of the dispute and help make the right strategic decisions from day one; identify the practical steps necessary to handle the dispute; assess the risks factors and the potential relevance of alternative dispute resolution; understand new trends in disputes that the funds industry faces.

Watch [here](#)

### Focus on Funds: understanding risks to directors and what is expected of them

60 mins

May 2022

Fund directors may find themselves personally responsible for aspects of a Fund's operations or investment decisions in respect of which they have little day-to-day experience or detailed knowledge, from health & safety and environmental obligations to data protection, anti-bribery programmes, modern slavery and supply chain issues and shareholder relations and remuneration. This session explains what fund directors need to know and how they can protect themselves, including recognising common triggers for claims against fund directors; the level of skill expected of fund directors; standards of probity for fund directors; duties to stakeholders; issues relating to remuneration and fund directors' service contracts and what to expect from your insurer.

Watch [here](#)



## Insurance (1/2)

The insurance sector continues to deal with fast paced changes. Insurance companies need to keep up with changing regulations and market trends that will impact their day-to-day operations and long term business. It has become crucial for (re)insurers, brokers, their risk managers and general counsel to get the right insight and advice across a wide range of claims and coverage, and regulatory and corporate issues.

Our comprehensive programme aims to give you the expert responses you need on the following topics: Access the whole 2023 series [here](#) and the 2024 series [here](#).

2024 Series	LDI, the Gilt Crisis and Claims Risk	2023 Series
60 mins each    May-Nov 2024	60 mins    Mar 2023	60-90 mins    Mar-Nov 2023
<b>ESG litigation risk in Europe</b> Watch <a href="#">here</a>	We discuss the issues faced by the pensions industry due to recent turmoil in UK Gilt markets, the resulting LDI crisis and other implications for UK pension schemes. We explore the potential for the crisis to give rise to claims against pension trustees, investment managers, financial advisers and others.	<b>InsurTech</b> Watch <a href="#">here</a>
<ul style="list-style-type: none"><li>– Product liability</li><li>– Litigation trends</li><li>– Trends and traps in professional indemnity claims</li><li>– Claims handling</li></ul>		<b>Building Safety &amp; Construction</b> Watch <a href="#">here</a>
<b>Register for future sessions</b> and access recordings <a href="#">here</a>	Watch <a href="#">here</a>	<b>Cyber</b> Watch <a href="#">here</a>
		<b>ESG risks for Directors &amp; Office/ESG</b> Watch <a href="#">here</a>
		<b>Product liability</b> Watch <a href="#">here</a>
		<b>Climate change</b> Watch <a href="#">here</a>
		<b>War, nuclear risk and related war and political risk exclusions</b> Watch <a href="#">here</a>
		<b>Professional indemnity</b> Watch <a href="#">here</a>
		<b>People issues for insurers</b> Watch <a href="#">here</a>
		<b>Bonds</b> Watch <a href="#">here</a>
		<b>Marine</b> Watch <a href="#">here</a>
		<b>W&amp;I</b> Watch <a href="#">here</a>
		<b>Medical malpractice</b> Watch <a href="#">here</a>

 Insurance (2/2)

## Professional Indemnity Insurance Seminar 2023

60 mins each Jun 2023

Our 2023 event brings you an update on legal issues of interest to all PI classes, plus updates for specific sectors. Our hot topics include:

- **Regulatory keynote**
- **Dishonesty issues in professional indemnity claims**
- **ESG and the impact for the professional indemnity market**

Watch [here](#)

## The ghost of Xmas past and present. And Xmas yet to come?

60 mins Oct 2022

Watch [here](#)

## Professional Indemnity Insurance Seminar and video series 2022

60 mins

Mar 2022

Our annual flagship event provides an update on legal issues of interest to all PI classes. In particular we look at:

- **aggregation of cover and attachment clauses**
- **duty of care**
- **observations on professional indemnity insurance in 2022 and predictions for the future**

Watch the plenary sessions [here](#)

5 mins

Mar 2022

## PI video series

This series of bitesize videos supplements our 2022 seminar and is designed to help you understand the recent trends and navigate the risks and pitfalls of professional indemnity insurance from the perspective of the following markets and sectors:

- **Construction**
- **Brokers**
- **Surveyors**
- **SIPP operators**
- **Solicitors**

Watch [here](#)

## The lifecycle of a cyber breach

75 mins

Mar 2022

Cyber insurance remains one of the fastest growing lines of insurance and cyber-attacks could affect any insured organisation in any class of business.

In this webinar our Insurance, Technology and Disputes teams draw on their experience of working on UK and international breaches and provide you with practical advice on dealing with a breach, including notifications to regulators and data subjects, as well as defending any follow on claims that may arise.

The panel cover the life-cycle of a breach incident, including: initial breach response procedure, IT forensic investigation and mitigation; on-going legal and regulatory implications in the UK and internationally; mid to long-term commercial risks, including responding to follow-on claims.

Watch [here](#)

## Financial Lines Insurance: class actions in the UK

60 mins

Feb 2022

The webinar looks at recent developments, the routes for class actions in the UK and issues for insurers and their insureds to be aware of when dealing with class actions and group litigation.

Watch [here](#)



# Culture, Diversity and Skills

See next page for our Skills For Future Facing Teams series, click [here](#).

International Women's Day 2024: #InspireInclusion - an audience with Rupal Patel

Mar 2024

This was held in person. [Contact us](#) to learn more.

Empowering women: strategies for influence and impact

60 mins Jan 2024

Watch [here](#)

International Women's Day 2023: #EmbraceEquity – An Audience with Charlie Martin

90 mins Mar 2023

This year's IWD theme is #EmbraceEquity – acknowledging that we don't all start from the same place and recognising the importance of creating a fair and equal world.

Watch [here](#)

Gender equality: bringing men into the conversation

60 mins May 2022

This session sees notable men and women in leadership positions from across CEE come together to share their approaches, initiatives and thoughts on this topic.

Watch [here](#)

Mindful of race

60 mins Dec 2022

This interactive workshop is designed to raise awareness and spark a conversation about racial diversity and bias. It is an opportunity to get your team thinking about racial bias and how this emerges at work and beyond, and what action you can personally take to reduce the bias. Participants have the chance to hear about unintended consequences of racial bias as well as exploring how bias emerges during their own work environments. The core aims of this introductory session are to: celebrate racial difference and recognise how it supports organisational success; build understanding of racial bias and how it influences decision making; raise awareness of different types of racial bias, how they relate to work and what we can do to mitigate against these where necessary.

Watch [here](#)

Why do you need to be conscious of bias?

60 mins Oct 2021

Watch [here](#)

The Law and the Little Things: microaggressions in the workplace

45 mins May-Nov 2022

Our series addresses subtle aspects of discrimination in the workplace in the form of microaggressions. We aim to help leaders develop their awareness and understanding of the impact of behaviours that many write off as too trivial to mention; the little things that just happen. Though "little" in name, these occurrences can become large by nature, and businesses must be aware of the deep and often devastating impact of ignoring them. Watch all sessions [here](#)

**Hair we go again – understanding hair discrimination (May 2022)**

**Don't sweat it – microaggressions towards menopause (Jun 2022)**

**A touch of class – social mobility: exclusion of people based on their social profile (July 2022)**

**Keep the faith – calling out subtle and bono-so-subtle Islamophobia in the workplace (Sep 2022)**

**Pushy little things – microaggressions towards females in positions of power in the business context (Oct 2022)**

**The genderbread person – covert discrimination or otherisation of people in the LGBTQ+ community (Nov 2022)**

Global general counsel and the diversity and inclusion agenda

90 mins Feb 2022

Watch [here](#)

In Conversation with... Dr. Kehinde Andrews – Social activism and anti-racism: where are we now?

90 mins Sep 2021

Watch [here](#)

LGBT+ Inclusion & Pride as we ease out of Lockdowns

60 mins Sep 2021

Watch [here](#)

International Women's Day 2021: an Audience with Maggie Alphonsi, MBE

90 mins Mar 2021

Access the recording: [here](#)

CMS Women: Domestic Abuse – is it your business?

60 mins Jun 2021

Despite its prevalence, domestic abuse has often been seen as just that – a domestic issue. However, we are increasingly seeing employers taking an interest in how it impacts their employees, no doubt in recognition of the fact that we don't leave our home lives at the door of the (virtual or physical) office. The Government has also recognised that employers can play a part in supporting those affected by domestic abuse; in Jan 2021, the Department for Business, Energy and Industrial Strategy published a report looking at how employers can support individuals suffering from domestic abuse. **Jemima Lovatt**, founder of Thrive, the social enterprise for gender equality joins us to explore this issue further. We will look at: what domestic abuse is and the dynamics of this what we can do to support colleagues and friends impacted by domestic abuse why employers should view this as a workplace issue and what they can do to support employees affected by domestic abuse.

Watch [here](#)





# CMS Skills For Future Facing Teams Series

Skills For Future Facing Teams is our ongoing programme of leadership and business skills sessions designed for in-house legal teams. For more information about our programme contact [clientprofessionaldevelopment@cms-cmno.com](mailto:clientprofessionaldevelopment@cms-cmno.com).

<p>Building team high performance</p> <p>60 mins <span style="float: right;">June 2024</span></p> <p>Watch <a href="#">here</a></p>	<p>Negotiation: top 10 tips and techniques</p> <p>90 mins <span style="float: right;">April 2023 and 2024</span></p> <p>Watch our contract negotiation scenario <a href="#">here</a> and our in-house lawyer scenario <a href="#">here</a></p>	<p>How to influence when negotiating</p> <p>60 mins <span style="float: right;">Feb 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>How to communicate with impact in a hybrid world</p> <p>60 mins <span style="float: right;">Jan 2022</span></p> <p>Watch <a href="#">here</a></p>
<p>How to have coaching conversations</p> <p>60 mins <span style="float: right;">May 2024</span></p> <p>Watch <a href="#">here</a></p>	<p>How to navigate challenging conversations</p> <p>60 mins <span style="float: right;">Nov 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>Creating psychological safety in teams</p> <p>60 mins <span style="float: right;">Jan 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>Make yourself heard: how to deal with confidence issues at work</p> <p>60 mins <span style="float: right;">Dec 2021</span></p> <p>Watch <a href="#">here</a></p>
<p>How to deliver effective feedback (role play version)</p> <p>90 mins <span style="float: right;">Mar 2024</span></p> <p>Watch <a href="#">here</a></p>	<p>Strengthening trust in your business relationships</p> <p>60 mins <span style="float: right;">Oct 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>Building energy: from fatigue to flourishing</p> <p>60 mins <span style="float: right;">Nov 2022</span></p> <p>Watch <a href="#">here</a></p>	<p>Mindsets for innovation and disruption</p> <p>60 mins <span style="float: right;">Nov 2021</span></p> <p>Watch <a href="#">here</a></p>
<p>Project leadership for in-house teams series 2024</p> <p>3x 90 mins <span style="float: right;">Jan - March 2024</span></p> <p>Watch all three webinars in our series <a href="#">here</a></p>	<p>True colours? How to understand and influence people</p> <p>60 mins <span style="float: right;">Sept 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>Developing your leadership style to improve your team's performance</p> <p>60 mins <span style="float: right;">Oct 2022</span></p> <p>Watch <a href="#">here</a></p>	<p>Why do you need to be conscious of bias?</p> <p>60 mins <span style="float: right;">Oct 2021</span></p> <p>Watch <a href="#">here</a></p>
<p>Be the multiplier: how to devolve ownership and empower others</p> <p>60 mins <span style="float: right;">Feb 2024</span></p> <p>Watch <a href="#">here</a></p>	<p>Enhancing your team climate in the hybrid working environment</p> <p>60 mins <span style="float: right;">Jun 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>Making it stick: how to lead through change</p> <p>60 mins <span style="float: right;">May 2022</span></p> <p>Watch <a href="#">here</a></p>	<p>Enabling peak performance</p> <p>60 mins <span style="float: right;">Jun 2021</span></p> <p>Watch <a href="#">here</a></p>
<p>Empowering women: strategies for influence and impact</p> <p>60 mins <span style="float: right;">Jan 2024</span></p> <p>Watch <a href="#">here</a></p>	<p>Unravelling anxiety</p> <p>60 mins <span style="float: right;">May 2023</span></p> <p>Watch <a href="#">here</a></p>	<p>Legal tech 101 for in-house teams series</p> <p>3 x 30 mins <span style="float: right;">Feb-Mar 2022</span></p> <p>Watch all three webinars in our series <a href="#">here</a></p>	<p>How to speak with gravitas and presence</p> <p>60 mins <span style="float: right;">Apr 2021</span></p> <p>Watch <a href="#">here</a></p>